

Docket No. CITI0173

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Receipt*  
**FILE COPY**

In re Patent Application of

Alan COLE, et al.

Serial No.: 09/627,951

Filed: July 28, 2000



Art Unit: 2761

Examiner: Not Assigned

For: METHODS AND SYSTEMS FOR COLLATERAL MATCHING AND MARK TO  
MARKET RECONCILEMENT

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

**RECEIVED**

NOV 27 2000

Assistant Commissioner For Patents  
Washington, D.C. 20231

Technology Center 2100

10/27/2000 NROCHA1 00000023 09627951

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Sir:

25.00 0P

It is respectfully requested that a corrected Official Filing Receipt (OFR) be issued in connection with the above-identified application to correct the name of one of the inventors from "JOHN CASSELLS" to - JON CASSELL -; to correct the address of Mr. Cassell from "HERTS, UNITED KINGDOM" to - BISHOP'S STORTFORD, UNITED KINGDOM --; and to correct the Attorney Docket No. from a blank space to - CITI0173 -. A copy of the original Official Filing Receipt is enclosed for your convenience.

A check in the amount of \$25.00 is hereby enclosed for the fee in accordance to 37 CFR 1.19(h). Should any additional fee be required, please charge Deposit Account No. 501458. A duplicate copy of this correspondence is provided for this purpose.

Date: 10/25/00  
KILPATRICK STOCKTON LLP  
700 13th Street, N.W., Suite 800  
Washington, D.C. 20005  
Telephone No.: (202) 508-5800

Respectfully submitted,

By:

  
George Z. Marcou  
Registration No. 33,014

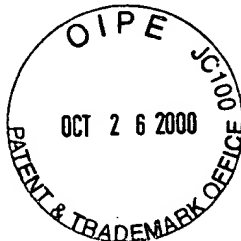


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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/627,951	07/28/2000	2761	1098		22	34	5

George T Marcou  
Kilpatrick Stockton LLP  
Suite 800  
700-13th Street NW  
Washington, DC 20005



## FILING RECEIPT



\*OC000000005395432\*

Date Mailed: 09/13/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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## Applicant(s)

Alan Cole, Yardley, PA ;  
~~John Cassella, Herts, UNITED KINGDOM;~~

Don Cassella

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/146,569 07/30/1999

## Foreign Applications

If Required, Foreign Filing License Granted 09/13/2000

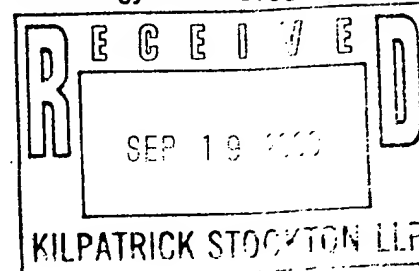
## Title

Methods and systems for collateral matching and mark to market reconciliation

## Preliminary Class

705

Technology Center 2100



Data entry by : MONROE, BEULAH

Team : OIPE

Date: 09/13/2000





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Bib Data Sheet

#3/CFR

<b>SERIAL NUMBER</b> 09/627,951	<b>FILING DATE</b> 07/28/2000 <b>RULE</b> -	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2761	<b>ATTORNEY DOCKET NO.</b> CIT10173
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**APPLICANTS**
 Alan Cole, Yardley, PA ;  
 Jon Cassell, Bishop's Stortford, UNITED KINGDOM;
**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLN CLAIMS BENEFIT OF 60/146,569 07/30/1999

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE****GRANTED \*\* 09/13/2000**

Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Examiner's Signature _____ Initials _____	<b>STATE OR COUNTRY</b> PA	<b>SHEETS DRAWING</b> 22	<b>TOTAL CLAIMS</b> 34	<b>INDEPENDENT CLAIMS</b> 5
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**TITLE**

Methods and systems for collateral matching and mark to market reconciliation

<b>FILING FEE RECEIVED</b> 1098	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
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